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## Precedents

Let us now rewind from the madrasa centuries back through the eleventh century, and into the first three hundred years of extant Arabic scholarly output. Language use is first and foremost the use of precedent according to rules, and it is the past that determines how a word is deployed and then accepted. *Maʿnā* was an established and oft-used word that had formed part of scholars' conceptual vocabularies for several hundred years by the time our four scholars were at work. When we try to map this history of usage we notice that this single word, *maʿnā*, had been used to translate multiple Greek words into Arabic, was present as a label in the names of specific genres and groups, and was used to build and explain theories about both words and things. We have no word in English or European languages that plays the same roles, so let us therefore start to get acquainted with *maʿnā* as it would have appeared to our four scholars. In the course of this survey, we will encounter the word *ḥaqīqah* at several key points. This will also be our first encounter with the grinding complexity of some of the semantic, epistemological, and theological debates that had the use of *maʿnā* at their core. A non-Arabist reader in a hurry may wish to skip ahead to the translation theory in chapter 3.

### IN TRANSLATION FROM GREEK

Texts in Greek were a major source of theoretical discussions, and I will discuss the details of that integration in more detail in the chapters on Ibn Sīnā and al-Ġurġānī. Here, in this chapter on the precedents for use of the word *maʿnā*, I would like to turn briefly to ninth-century translations of Greek, and to a representative genre of

scholarship: medicine. We are lucky to have Manfred Ullmann's magisterial (and hand-written) dictionary of translations, which primarily surveys Arabic interaction with the work of Galen (d. 216) and Aristotle. It quickly becomes apparent that *ma' nā* was a word used to translate a number of quite different Greek words into Arabic. This tells us that ninth-century Arabic translators were in the same position with regard to Greek as we twenty-first century translators into English are with regard to Arabic. In the absence of a shared conceptual vocabulary, translation has work to do.

Ullmann documents moments when *ma' nā* was used to translate *theōria*, *pragma*, *sēmainō*, and *tropos*, and also in phrases that translated the adjectives *paraphoros* and *presbutikos*.<sup>1</sup> Let us address these moments with some more detail. In Athens in the fourth-century B.C., Aristotle remarked that the methodology he was using to understand “the good” (begin at an accepted starting point and fill in the detail later) was one that should be followed “in other areas too” (*ton auton dē tropon*). The ninth-century Arabic translator, most likely Ishāq b. Ḥunayn (d. 911),<sup>2</sup> translated this phrase as “according to this *ma' nā*.”<sup>3</sup> *Ma' nā* was a fundamental concept for the translators. The Baghdadi Christian Aristotelian al-Ḥasan Ibn Suwār (d. 1020), whom we will meet again in the chapter on Ibn Sīnā, explained that translators needed to conceive a *ma' nā* in the same way as the original author, and that he had produced a critical, comparative, multi-manuscript edition of Aristotle's *Sophistical Refutations* in order to “get the *ma' nā*.”<sup>4</sup> Four hundred years after Aristotle, in second-century-A.D. Rome, Galen wrote that a large book on anatomy by his predecessor Marinus (of Alexandria, fl. 100) was marred by omissions. In ninth-century Baghdad, Ḥunayn b. Ishāq (d. 873, father of the aforementioned Ishāq and author of a treatise on these Galenic translations characterized by what Uwe Vagelpohl calls “vigorous pragmatism”)<sup>5</sup> translated the phrase about the omissions, *ellipes de tēn theōrian*, as “you find his *ma' ānī* to be inadequate.”<sup>6</sup> Galen also used the adjective *paraphoros* to describe

1. *Theōria* (“theory,” “speculative practice”), *pragma* (“matter,” “affair”), *sēmainō* (“signify”), *tropos* (“mode, manner”), *paraphoros* (“having deviated from the course,” “incorrect”), and *presbutikos* (“like an old man”). Ullmann (2002–7, 1:865, 3:886). Translations selected from Liddell and Scott (1968).

2. Aristotle et al. (2005, 27).

3. Arist. *Eth. Nic.* 1098a33. Arabic: وعلى هذا المعنى. Ullmann (2002–7, 3:492). English translation from Aristotle (2002, 102).

4. لَمَّا كَانَ النَّاظِلُ يَحْتَاجُ فِي تَأْدِيَةِ الْمَعْنَى إِلَى فَهْمِهِ بِاللُّغَةِ الَّتِي إِلَيْهَا يُنْقَلُ إِلَى أَنْ يَكُونَ مُتَّصِرًا لَهُ كَتَبُوا قَائِلَهُ . . . فَلَا تَأْتِي أَحْبَبْنَا الْوُقُوفَ عَلَى مَا وَقَعَ لِكُلِّ وَاحِدٍ مِنْهُمْ كَتَبْنَا جَمِيعَ النُّقُولِ الَّتِي وَقَعَتْ إِلَيْنَا لِيَقَعَ التَّامُّلُ بِكُلِّ وَاحِدٍ مِنْهَا وَيُسْتَعَانَ بِبَعْضِهَا عَلَى بَعْضٍ فِي إِدْرَاكِ الْمَعْنَى. Georr (1948, 198), via Vagelpohl (2010, 254).

5. Vagelpohl (2010), 248.

6. Gal. *Anat. Admin.* 4:10. Ḥunayn:

the incorrect speech of other authorities about inflammation in the eyes, and Ḥunayn chose to describe such speech as having “no *maʿnā* to it.”<sup>7</sup>

In all these examples, the word *maʿnā* would seem to be roughly equivalent to the English “meaning.” But in his work on medicines, Galen warned that confusion about the names “dry” and “wet” would lead to uncertain knowledge of the *pragmata*, and then both names and knowledge of *pragmata* would become confused. (We will return to *pragmata* in chapter 6 below.) Ḥunayn translated this as “when the labels indicating them become confused, then so does knowledge of the *maʿānī* and the actual things.”<sup>8</sup> Galen had used a standard Greek binary of *onoma* and *pragmata*, a pairing we could map onto the English pairing of “words/names” versus “things.” Galen had warned that labeling confusion leads to confusion about what things actually are. When Ḥunayn wanted to say this in Arabic, he moved to an epistemological structure with three components. He made a specific distinction between the labels of the medicines on the one hand, and then both their *maʿānī* and their *umūr* on the other. The word *umūr* here stands for the actual physical medicines themselves. The *maʿānī* are Ḥunayn’s third category: they are not the labels (the words are the labels), and they are not the actual medicines either. They are *maʿānī*, a core conceptual category not found in Greek or English without recourse to neologism.

In his work on body parts affected by disease, in a typological discussion of changes to organs, Galen again stressed the importance of consistent use of medical terminology, and he remarked how, “what speech signifies” has confused both junior physicians and philosophers (*tōn sēmainomenōn ho logos*). The translator, either Ḥunayn or his nephew Ḥubayš b. al-Ḥasan al-Aʿṣam (fl. ninth century),<sup>9</sup> rendered this phrase as “the *maʿānī* that are indicated by names.”<sup>10</sup> The Arabic

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وَجَدْتُهُ فِي مَعَانِيهِ نَاقِصًا. Ullmann (96–1:295, 7–2002).

7. Gal. *De Pulsibus Libellus ad Tirones*. Galen (1821–33, 8:484). Ḥunayn:

لا معنى له. Ullmann (2002–7, 2:31–33, 3:55–56).

8. *Ex oun toutōn tōn prophaseōn hē tōn onomatōn chrēsis tarachtheisa kai tēn tōn pragmatōn epitarratei gnōsin. Hōsautōs de kai peri xērou kai hugrou tōn onomatōn sugxuthentōn kai hē tōn pragmatōn gnōsis sunexuthē*. Ḥunayn:

لَمَّا تَشَوَّشَتْ الْأَلْقَابُ الدَّالَّةُ عَلَيْهَا تَشَوَّشَ بِذَلِكَ الْعِلْمُ بِالْمَعَانِي وَالْأُمُور. Gal. *De Simplicium Medicamentorum Temperamentis ac Facultatibus* 3.12. Galen (1821–33, 11:569), Ullmann (2002–7, 3:176).

9. Garofalo (1997, 15).

10. *Anamnēsthōmen d’ eis ta paronia chrēsīmōs kai tōn en tēi peri iatrikōn onomatōn pragmateia legegmenōn, entha peri tōn sēmainomenōn ho logos ēn, ha kakōs sugcheousin ouk oligoi tōn neōterōn iatrōn te kai philosophōn*. Arabic [starting at *entha*]:

حيث ذكرت المعاني التي تدل عليها الأسماء. Rudolph E. Siegel’s English translation says simply “where I discussed the signs.” Gal. *De Locis Affectis* 1.3. Galen (1821–33, 8:32), (1976, 28); Ullmann (2002–7, 3:274).

conceptual vocabulary revealed by this translation choice matches the three-part division that we encountered in the previous example. There are words and things, and then there are those mental contents that result from the input provided by language. Ḥunayn or his nephew read the Greek and then wrote *ma'ānī*. They read a combination of Greek words that Liddell and Scott tell us is also found in Sophocles (d. 406 B.C.): *chō logos sēmainētō* (translated variously as “now let your speech signal your meaning” or “you may tell your story”) and that is clearly about forming a speech act to communicate one’s meaning.<sup>11</sup> Galen had certainly read Sophocles, and it is possible that Ḥunayn or his nephew had too. (Maria Mavroudi has shown that Sophocles was read by Ḥunayn’s fellow Christians in ninth-century Iraq.)<sup>12</sup> What is interesting for us is that in Sophocles’ literary moment he seems to want to stress the process by which ideas are consciously turned into words (facts, lies, and silence are in play; Deianeira is telling the Messenger he can now speak freely). It is fun to imagine that this line was on Ḥunayn’s mind when he used the Arabic word *ma'ānī* for Galen’s dry injunction about the same process of turning ideas into words. In a more prosaic final example, when the Archbishop of Constantinople Gregory of Nazianzus (d. 390) proscribed that his order wear shoes and crutches like old men, the tenth-century Christian Arabic translator rendered *presbutikos baktēreuontes* as “crutches according to the *ma'nā* of an old man.”<sup>13</sup> In the mind of the translator, this was an idiomatic and appropriate Arabic phrase that could do the work done in English by “like”: think of an old man, and then you will know what kind of crutches we are talking about.

These six Greek words (*theōria*, *pragma*, *sēmainō*, *tropos*, *paraphoros*, and *presbutikos*) were all translated (or in the case of *paraphoros* and *presbutikos*, translated in part) by *ma'nā*. The choice we have now is whether to shoehorn these *ma'ānī* into a word such as “meanings,” or to force them into a neologism such as “mental contents.” The decision to make six different words into one single word has already been made by the ninth- and tenth-century translators; the question before us now is how to do justice to that Classical Arabic choice. Our primary task in this book is the translation of the Classical Arabic conceptual vocabulary, not the Greek one. Greek simply helps us see what Arabic was doing. Translation will be the subject of the next chapter. Here, I would just like to note that if we were to choose “meanings,” then these six Greek words would represent a set of usages that does not match how we use the word “meaning” in English. The advantage

11. Soph. *Trachiniae* 345. Translations: Richard Jebb and Robert Torrance via Perseus Digital Library.

12. Mavroudi (2015, 329–30).

13. Gregory of Nazianzus (2001, 136). Arabic:

والعُكَّازَاتُ عَلَى مَعْنَى الشَّيْخِ. Ullmann (2002–7, 3:182).

of “mental content” is that it is an awkward neologism that makes us ask exactly what the Arabic word *maʿnā* was doing. It also helps us identify that some sort of content is in play, and provisionally locate that content in the mind.

#### IN BOOK TITLES

Some usages of *maʿnā* and its plural *maʿānī* were so well-established by the eleventh century that they appeared in the titles of books and the slogans of polemicists. They fit the same patterns of usage we have encountered in the translations from Greek, and could just as well be rendered in English as “mental content.” Once again, the awkward nature of the resultant translations will remind us that these are genres and controversies that we just do not have in the histories of Anglophone or European theology, literary criticism, or grammar. And yet they were fundamental to the conceptual vocabulary of eleventh-century Arabic, and therefore to the theoretical discussions that are the subject matter of this book. Eleventh-century scholars would have read a great many books that dealt with *maʿānī al-Qurʿān* (“the *maʿānī* of the Quran”) or *maʿānī aš-šiʿr* (“the *maʿānī* of poetry”), and they would have studied *maʿānī an-naḥw* (“the *maʿānī* of grammar/syntax”) at school.

Let us start with the foundational text of the Quran, over three centuries distant when our four authors heard and read it but pedagogically, linguistically, epistemologically, and rhetorically omnipresent in their intellectual lives. The idea that the Quran had contents, *maʿānī*, was uncontroversial. And these contents were assumed to be located in the mind; they were mental contents. Unsurprisingly, the question of whose mind the contents of the Quran were in was theologically problematic, and we will confront it in chapters 4 and 5. But no one would have disagreed with the statement that the Quran was full of *maʿnā*. Perhaps the most famous book to enshrine this principle in a title was Abū Zakarīyah al-Farrāʾ’s (d. 822) *Maʿānī al-Qurʿān*. As we have the text today, al-Farrāʾ’s work starts with a transmission note from one of his students, who wrote that this was “a book containing the *maʿānī* of the Quran” that al-Farrāʾ had dictated from memory starting in the early Tuesday and Friday mornings of the month of Ramadan in the year 818. The teacher’s opening words were: “Exegesis of the problematic desinential inflections of the Quran and its *maʿānī* begins with the transmission consensus that the *alif* in the *basmalah* is elided.” This is an orthographic statement about the opening phrase of the Quran known as the *basmalah* (*bi-smillāhi r-raḥmāni r-raḥīm*, “In the name of God, the merciful, the beneficent”) and how it is written down. According to al-Farrāʾ, the reason that Quranic orthography omitted the upright stroke of the letter *alif* was that the *basmalah* was a place in the Quran so well known that a reader would never be “ignorant of the *maʿnā* of the *alif*.” It was, after all, a customary linguistic trait among the Arabs to whom the Quran was revealed that abbreviation and elision were practiced “when the

*ma' nā* was known.”<sup>14</sup> There is no doubt here that *ma' nā* is the mental content of speech, nor that this mental content is what is at stake when questions of orthography or grammar are under consideration. The single letter *alif* has a *ma' nā* so well known in a certain phrase that its physical representation on the page may be omitted. A book such as that of al-Farrā', largely concerned with the accurate reading of the Quranic text and the discussion of dialectical variations therein, would therefore accurately be given the title “Mental Contents of the Quranic Text.” The word *ma' nā* appears a great deal in the book; the lessons al-Farrā' dictated often consist of a paraphrase of the mental content of a certain word, or a statement that two words have the same or different mental contents, all backed up with evidence from sources including Arab poets, lexicographers of Arabic, and his own authorial judgment. And it was not just single words and letters that had mental contents; whole phrases or verses did too. The phrase “If God willed it, he would depart with their hearing” (Quran 2:20, al-Baqarah) is therefore explained by al-Farrā' as “the mental content, and God knows best, is that if God willed it he would make their hearing go away.” The rhetorical thrust of the verse stays the same; the mental content is stable (albeit al-Farrā' piously eschews confidence in his interpretation), and only the syntax changes. We will return to syntax and *ma' ānī* with a vengeance in chapter 7.

If the *ma' ānī* of the Quran could be the mental contents occasioned by both letters and whole verses, so a book on “the mental contents of the Quran” could include more than the lexicographical and orthographical notes of al-Farrā'. Writing in tenth-century Egypt, Abū Ğā'far Muḥammad an-Naḥḥās (d. 950) started his *Ma' ānī al-Qur' ān* by saying that the book would also include explanation of the Quran's rare words, juridical prescriptions, and verses that abrogated other verses, all based on scholarly precedent from religious and lexicographical authority. But what was at stake in all these subgenres of Quranic study was the *ma' ānī* of the Quran—the mental contents it contained. An-Naḥḥās was interested in desinential inflections only insofar as they were needed to grasp the *ma' nā*, and when he wanted (taking part in a long-standing debate)<sup>15</sup> to stress the Arabness of the Quranic language, he wrote that “the mental contents of the Quran are found only through the Arabic lexicon.”<sup>16</sup>

14. لأنها وقعت في موضع معروف لا يجهل القارئُ معناه ولا يحتاج إلى قراءته فاستُخفَّت طرُحُها لأنَّ من . . .  
 15. Al-Farrā' (1960, 1:2.2–4). شأن العرب الإيجازَ وتقليل الكثير إذا عُرف معناه

15. Rippin (2016).

16. ففصدتُ في هذا الكتاب تفسيرَ المعاني والغريبِ . . . من اللغة العربية . . . وآتي من القراءات بما يحتاج إلى تفسير معناه وما احتاج إليه المعنى وأحكام القرآن والناسخ والمنسوخ . . . .  
 16. An-Naḥḥās (1988, 1:42.1–43.1). من الإعراب

After this Quranic introduction (more valuable detail and references can be found in Andrew Rippin),<sup>17</sup> it makes sense that multiple genres of pre-eleventh-century scholarship would produce books that dealt with the range of mental contents, *ma'ānī*, occasioned in authors' and readers' minds when each genre of text was read. And while a comprehensive survey is beyond our scope here, a cursory review of the lists of book titles in Fuat Sezgin's bibliographic survey of pre-eleventh-century works bears out this conclusion. Sezgin's volumes dealing with Quranic sciences, Hadith, poetry, grammar, and lexicography list nearly a hundred books with *ma'ānā* in their title. Their contents are of course not all the same: the mental content produced by poetry is not the same as the mental content produced by prophetic Hadith, nor are all the disciplines identical in their preoccupations. But they are all using *ma'ānā* in the same stable way. So when Abū Ġāfar Muḥammad at-Ṭahāwī (d. 933) wrote, in response to requests from his companions, a substantial collection of Hadith designed to defend that corpus from its critics, it became known as *Kitāb Ma'ānī al-Āṭār—The Book of the Mental Contents of Prophetic Traditions*.<sup>18</sup> When Ibn Qutaybah (d. 889) wrote his *Kitāb al-Ma'ānī al-Kabīr fī Abyāt al-Ma'ānī (The Big Book of Ma'ānī Dealing with Ma'ānī Verses)*, which is also known as *Ma'ānī aš-Ši'r (The Ma'ānī of Poetry)*, he was producing a set of explanations of selected verses from the canon of Arabic poetry, the words of which might not have been familiar to his urban Baghdadi audience.<sup>19</sup> He spent a great deal of time explaining the *ma'ānā* of descriptive terms used by poets from previous centuries, so the chapter on "Lines with *Ma'ānī* about the Hyena" starts with a single line from al-Kumayt b. Zayd al-Asadī (d. 743):<sup>20</sup>

*Like the mother of 'Āmir hiding away in her den, but the hunter has the rope.  
The wolf will provide for her family.*

One can imagine that this line was as obscure to a ninth-century Baghdadi bureaucrat as it may be to us. Ibn Qutaybah provides the mental content in a concise paragraph: the mother of 'Āmir is an alternative name for the hyena, an animal known for its stupidity, which is evinced by its habit of sticking to its den until its hind legs can be snared by the rope of a hunter who pretends to have abandoned the chase. Wolves have been known to raise the children of hyenas after the parents were hunted, and in some cases interbreed. Provided with this account of the

17. Rippin (2015).

18. Sezgin (1967–, 1:437–38), at-Ṭahāwī (1994, 1:11).

19. Cf. Harb (2013, 146 n. 463).

20. *كَمَا خَامَرَتْ فِي حَضْبِهَا أُمُّ عَامِرٍ | لَدَى الْحَبْلِ حَتَّى عَالَ أَوْسٌ عِيَالَهَا*. Ibn Qutaybah (1984, 1:212).

*ma' nā*, the reader of Ibn Qutaybah is now equipped to use the line as an apt quotation in a literary performance (the process known as *adab*).

The Quran, Hadith, and profane poetry all had *ma' ānī* that could be recaptured and paraphrased by the scholars who worked to interpret them. Language was the interface between the mental contents of authors and readers. It is therefore unsurprising that language itself was analyzed using *ma' nā* as a label for the functions and meanings behind the words themselves. Any discussion of the function of a certain particle in syntax, or the import of a certain tense or mood of a verb, or indeed the type of illocutionary force intended by a speaker would be a matter of *ma' nā*. As we will see, al-Ġurġānī's poetics was at heart a theory of syntax, and the ingredients of syntax were *ma' ānī*. This was not a controversial terminological assumption. For example, when al-Ġurġānī's predecessor in the canon of great grammarians, Abū al-Qāsim az-Zaġġāġī (d. ca. 949), wrote a book about the grammatical functions of particles, he called it *Ma' ānī al-Ĥurūf* (*The Ma' ānī of Particles*). The first four particles dealt with were "at," "all," "some," and "like," and az-Zaġġāġī then continued for another 133 Arabic words, explaining the semantic load of each word and how it functioned in Arabic syntax.<sup>21</sup>

*Ma' nā* was the word used to describe what happened in people's heads when they were faced with language. And seeing as the Quran, Hadith, and poetry were all made up of language, *ma' nā* was also the word used to describe what happened in people's heads when they interacted with those texts.

#### IN THE ARABIC DICTIONARY

The Arabic lexicographical tradition, as we will see in chapter 4, was itself a map of usage and precedent. What did the authors of dictionaries say about *ma' nā*? As was the case with all the words that existed in Arabic, a lexicon became firmly established during the first four centuries of Arabic scholarship, and the etymological relationships between words were delineated and argued over with reference both to the canon of pre-Islamic poetry and to anthropological lexical fieldwork among nomadic Arabic tribes. The word *ma' nā* was no exception. The lexicographers went to work on it just as they went to work on every other Arabic word in their vast, ever-expanding, mutually referencing dictionaries and manuals of morphology. And in David Larsen's recent article, we have a comprehensive engagement with both the lexicographers' work and the uses of *ma' nā* in early poetry on

21. بعض, كل, عند, and مثل. az-Zaġġāġī (1984, 1-3).

which they drew. He concludes, *inter alia*, that “outward exposure of inner content is one of *ma‘nā*’s master metaphors.”<sup>22</sup>

The first lexical question was what part of speech, what type of noun, *ma‘nā* was. On the face of it, *ma‘nā* could be either a *maṣḍar* (a quasi-verbal event noun) or a noun of place. These two parts of speech are in the case of the word *ma‘nā* indistinguishable, so one could choose to read *ma‘nā* as either the act of aiming or the place of aiming. Larsen and I might be tempted to prefer the latter, but al-Ġurġānī, himself a grammarian, wrote a voluminous commentary on his teacher’s study of morphology, in which he concluded that in such cases the *maṣḍar* is the starting point from which the noun of place derives. (The *maṣḍar* was also, according to Gerhard Endress, the morphological form used most often to translate abstract and universal concepts from Greek.)<sup>23</sup> Al-Ġurġānī’s general statement is backed up in the specific case of the word *ma‘nā* by a scholar specializing in fine-grained lexical distinctions, Abū Hilāl al-‘Askarī (d. ca. 1010), who confirmed that while *ma‘nā* looked like it could be a noun of place, it was indeed a *maṣḍar*.<sup>24</sup>

But what did the lexicographers say that verb from which *ma‘nā* derived meant? One of their traditional etymological starting points, the Quran, provided little assistance. Neither the word *ma‘nā*, nor the root from which it is derived (‘-*n-y*) appears in the Quran, although Larsen has interrogated the appearance of the related root ‘-*n-w* at Quran 20:111 (Tā Hā), noted the appearance of ‘-*n-y* in a variant reading of Quran 80:37 (‘Abasa), and supplied the word’s Hebrew cognate (*ma‘neh* from the same ‘-*n-y* root.)<sup>25</sup> The word *ḥaḳīqah* does not appear either, although the root *ḥ-q-q* is used by the Quran to talk about truth a great deal.

In the work of Abū al-Ḥusayn Aḥmad Ibn Fāris (d. 1004) we read a synthesis of the work of the previous four centuries of lexicographers that tells us that the *ma‘nā* of a thing is what you get when that thing is tested, or the basic default state of a thing (via al-Ḥalīl b. Aḥmad, d. 786),<sup>26</sup> or the purpose of a thing that is revealed when you look for it (via Abū ‘Abdallāh Muḥammad b. Ziyād Ibn al-A‘rābī, d. ca. 846). In the absence of Quranic precedent, the sources adduced by Ibn Fāris to prove his reading are nomadic Arabic prose and poetry, in which the verb from which *ma‘nā* derives (‘*anā*) is used for the putting forth of plants (by the earth) or

22. David Larsen, “Captivity and Meaning in Classical Arabic Philology,” forthcoming in the *Journal of Abbasid Studies*.

23. The *maṣḍar mīmī* of a form I third radical weak verb is identical to the *ism aḏ-ḏarf* or *ism al-makān*. Endress (1987, 19), (2002, 236); al-Ġurġānī (2007, 2:1057), Kouloughli (2016b), Larsen (2007, 158f), Wright (1898, 1:128).

24. والمفعول يكون مصدرًا ومكانًا وهو هاهنا مصدر. Abū Hilāl (2006, 45.12).

25. Larsen (2007, 163–67, 194).

26. ولم يزد الخليل على أن قال معنى كل شيء محتته وحاله التي يصير إليها أمره. Ibn Fāris (1946–52, 4:148).

water (by a waterskin). In a representative piece of eleventh-century lexicon construction, Ibn Fāris used the Umayyad poetry of Du ar-Rummah (d. 735) to claim this etymological origin for *ma' nā*: what the land would produce.<sup>27</sup> An origin that would give land content, just as language has content.

#### IN THE OPENING SENTENCE OF THE FIRST ARABIC BOOK

Let us leave the accounts of the lexicographers here. We will return to the conceptual importance of the lexicon in chapter 4, and here I would like to turn back to usage. I do not want to cede control of the game to the lexicographers in the first innings! The first complete extant book we have in Arabic, a book given simply the name *al-Kitāb* (*The Book*), uses the word *ma' nā* in its very first sentence. The author of this foundational study of grammar was Sibawayh, a Persian speaker working in Basra, in southern Iraq, and the opening statement of his book was that “language is the noun, the verb, and the particle that comes for a *ma' nā*, neither noun nor verb.”<sup>28</sup> It is highly instructive to note that the commentary tradition’s response to this somewhat gnomic statement was not to ask what *ma' nā* meant; it was rather to ask exactly what this category of “particle” was and then use *ma' nā* to explain the different theoretical options.<sup>29</sup> The commentators also asked exactly what the word I have translated as “language” meant; *al-kalim* was a rare plural of *al-kalimah*, “word,” and they disagreed about the significance of Sibawayh’s word choice (in English we tend to say “language” at times like this, but “language” is an English word not exactly replicable in Arabic, where we find the words *lisān* (“tongue”), *kalām* (“speech”), *luġah* (“lexicon”), *qawl* (“speech act/statement”), and more.<sup>30</sup>

The word *ma' nā* was in play during Sibawayh’s foundational Arabic answer to the question I am phrasing as “What is language?” And as he tried to explain what Sibawayh had meant, Abū Sa’īd as-Sirāfi (d. 979) asked himself how one would answer this question: “Why did Sibawayh say, ‘and the particle that comes for a *ma' nā*,’ when we know that nouns and verbs also come for *ma' ānī*?” The assumption in this short snatch of dialectic is clear: as-Sirāfi’s readers are already familiar with the word *ma' nā*; everyone knows how to use it. Nouns, verbs, and particles

27. Ibn Fāris (1946–52, 4:146–49). See also Larsen’s “Captivity and Meaning in Classical Arabic Philology,” forthcoming in the *Journal of Abbasid Studies*.

28. فالكَلِيمُ اسْمٌ وَفِعْلٌ وَحَرْفٌ جَاءَ لِمَعْنَى لَيْسَ بِاسْمٍ وَلَا فِعْلٍ. Sibawayh (1966, 1:12.2).

29. Ar-Rummānī (1993–94, 109), as-Sirāfi (2008, 13–14.) Cf. Bernards (1997, 3f).

30. Cf. Gilliot and Larcher (2016).

all have *ma'ānī*. It is the word to use when talking in Arabic about what language is and how language works. It is core conceptual vocabulary.

What was as-Sīrāfi's answer to his own question, and how did he explain Sībawayh's use of the word *ma'nā*? It should come as no surprise that an answer to a question about *ma'nā*, posed in terms of the functioning of *ma'nā*, should itself consist of a statement about how *ma'nā* worked. As-Sīrāfi said that the *ma'ānī* of particles (which we encountered with *az-Zaḡḡāḡī* above) consisted only of acts of negation, affirmation, and connection between nouns and verbs, both of which had their own *ma'ānī*. These *ma'ānī* in nouns and verbs were different, existed integrally to each such word, and could be recaptured through paraphrase in answer to the question "What is . . . ?" The function of particles could also, of course, be recaptured through paraphrase, but the *ma'ānī* of particles could be reasoned only alongside the *ma'ānī* of the nouns or verbs to which they referred, whereas the *ma'ānī* of nouns or verbs stood on their own and could be used as the basis for further reasoning. As-Sīrāfi's explanation of Sībawayh's gnomic reference to a mental content on account of which particles are used was that, for example, the conjunction "from" is used for a mental content that could be defined as "dividing a part from a whole" and that relied on the mental content of the noun or verb being divided. One couldn't reason the mental content of "from" without reasoning the mental content of what it was from.<sup>31</sup> What we can see here is some of the contours of a grammatical-logical framework that has one foot in Aristotelian logic and the other in Sībawayh's descriptive linguistics. This is a combination that was born out of polemical struggles between logicians and grammarians in the tenth century (see Peter Adamson and Key),<sup>32</sup> and it would be finally resolved in the eleventh century, as we will see in chapters 6 and 7. At this stage in the book I wish only to highlight the centrality of *ma'nā* to the discussion and its stability as an item of conceptual vocabulary in constant and widespread use.

#### IN A WORK OF LEXICAL THEORY

Abū Hilāl al-'Askarī (d. ca. 1010, on whom see George Kanazi and Beatrice Gruendler)<sup>33</sup> was a lexicographer and literary critic who wrote a book of lexical

31. وإنَّ سألَ سائلٌ فقالَ لِمَ قالَ وحرَفٌ جاءَ لمعنىٍّ وقدَ علمنا أنَّ الأسماءَ والأفعالَ جئنَ لمعانٍ قيلَ له . . . أنَّ الحروفَ إنما تجيءُ للتأكيدِ .. وللنفيِّ .. وللعطفِ .. والأسماءَ والأفعالَ معانيها في أنفُسها قائمةٌ صحيحةٌ ودليلٌ على ذلك أنه إذا قيلَ ما الإنسانُ كانَ الجوابُ عن ذلك أنَّ يقالَ الذي يكونُ حيناً ناطقاً كاتباً .. وإذا قيلَ ما معنى قام قيلَ وقوْحُ قيامٍ في زمانٍ ماضٍ فعُقِلَ معناه في نفسه قبلَ أنَّ يتجاوزَ به إلى غيره وليس . . . كذلك الحروفُ .. فعلمنا أنها تؤثرُ في المعاني ولا يُعقَلُ معناها إلا بغيرها. As-Sīrāfi (2008, 1:13.25–14.13).

32. Key and Adamson (2015).

33. Azarnooch and Negahban (2008); Gruendler (2005), (2007); Kanazi (1989).

definitions. The stated aim of that work was to clarify the differences between *ma'ānī* that were close to each other and thereby dismantle the concept of synonymy.<sup>34</sup> It was a work of fine semantic distinctions that dealt with both the inherited lexicon of classical and scriptural precedent and with the living scholarly and ordinary language of the late tenth and early eleventh century: “the vocal forms of the jurists, the theologians, and all the rest of people’s conversations.”<sup>35</sup> He gave an account of how around twelve hundred pairs of words each differed in their meaning: “the difference between mental contents that are close to each other.”<sup>36</sup> One such pair of words was, happily for us, *ma'nā* and *ḥaḥīqah*.

Before we come to Abū Hilāl’s detailed discussion of *ma'nā* and *ḥaḥīqah* we need to explain what he meant by “vocal forms.” *Ma'nā* was an established and commonplace word for the mental content that could be accessed and expressed through language. It was primarily cognitive and resided in people’s minds. The linguistic expression of these mental contents was then a separate category, *lafz* (plural *alfāz*), and the two terms very often sat in opposition to each other. *Lafz* can be translated as “vocal form,” “verbal form,” “vocal/verbal expression,” or “utterance.” I have invariably chosen “vocal form” to avoid confusion in English with the grammatical category of “verb,” and as a nudge toward the omnipresence of the binary—vocal form / mental content—even when only one side of it is mentioned: vocal form / mental content. *Lafz* also tended to stand, in theoretical discussions about language, for both spoken and written expression.

*Lafz* was the real-world extramental existence of language, whether the vibration of the air produced by human vocal cords or the marks on the page produced by humans’ pens. This notion of physical impact matches the standard definitions of *lafz* in the Arabic lexicon: a *lafz* is literally the act of ejecting something from one’s mouth. The additional distinction between word and script was also available when necessary, laid out, for example, in the ninth century by al-Ġāhiz (Abū ‘Uṭmān ‘Amr b. Baḥr, d. 868 and a dominant literary voice of the ninth century and beyond). His taxonomy of communication famously identified five forms that could accurately indicate mental contents: vocal form, physical gesture, dactylonomy, writing, and context/performance (this last category reflected the way we may say that the presence of a corpse, or a building, “speaks volumes”).<sup>37</sup>

34. Abū Hilāl (2006, 29, 33).

35. وما يجري في ألفاظ الفقهاء والمتكلمين وسائر محاورات الناس. Abū Hilāl (2006, 30.2–3).

36. Abū Hilāl (2006, 29.8).

37. وجميع أصناف الدلالات على المعاني من لفظ وغير لفظ خمسة أشياء لا تنقص ولا تزيد أولها اللفظ ثم الإشارة ثم العقد ثم الخط ثم الحال التي تُسمى نَصْبَةً والنصبَةُ هي الحال الدالة . . . ولكل واحد من هذه الخمسة صورةٌ بآئنةٌ من صورةٍ صاحبها . . . وهي التي تُكشِفُ لك عن أعيان المعاني في الجملة ثم

Abū Hilāl started his 232-word entry on *ma' nā* and *ḥaqīqah* with the statement that “*ma' nā* is intent, the specific intent with which a speech act happens (the lexical *ma' nā* of the word ‘speech’ may be: ‘that to which intent attaches itself.’) *Ḥaqīqah*, on the other hand, is a speech act that is lexically placed according to its assigned place in the lexicon.”<sup>38</sup> This is Abū Hilāl saying that *ma' nā* and *ḥaqīqah* are linguistic categories: the intent behind a speech act and the lexical accuracy of a speech act. I will return to the lexicon and these categories in chapter 4. Abū Hilāl then provides the morphology: *ma' nā* is a *maṣdar* from the root ‘-n-y. Next, he turns to theology to make the argument that *ma' nā* is a word for a human linguistic category, albeit one that can point toward God: “*ma' nā* is our hearts’ intending what we intend to say. And what we intend is the *ma' nā*. God is therefore [if we intend him] the *ma' nā*.” Abū Hilāl understood *ma' nā* as an internal human process of intent, one that had its fulfillment in a speech act. If a human being wanted to talk about God, then God would be the *ma' nā* of the resultant speech act. But Abū Hilāl acknowledged that there was a theological problem here, one that had been identified by the oft-cited and foundational early Basran Mu‘tazili theologian Abū ‘Alī al-Ġubbā’ī (d. 915): “God cannot be described as ‘a *ma' nā*.”<sup>39</sup> God may have been what people wanted to talk about, but he could not actually be in people’s hearts, subject to their intentions. He could be the *ma' nā* (“the thing intended,” a passive participle of the same ‘-n-y root, less commonly used) but not a *ma' nā*.<sup>40</sup> An accurate account of the situation would recognize that the *ma' nā* was the human being’s intent, not the divinity itself. After all, wrote Abū Hilāl, were one to say, “I intend to say, ‘Zayd’” or “I wanted to talk about him,” then one would not actually be conjuring up Zayd’s presence. Mental content is not the same thing as extramental existence.<sup>41</sup>

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الفرق بين الحقيقة والمعنى أن المعنى هو القصد الذي يقع به القول على وجهٍ دون وجهٍ وقد يكون معنى الكلام في اللغة ما تعلق به القصد والحقيقة ما وُضع من القول موضوعه [في أصل اللغة] على ما ذكرنا. Abū Hilāl (2006, 45.9–11).

ولهذا قال أبو علي رحمة الله عليه إن المعنى هو القصد إلى ما يُقصد إليه من القول فيجعل المعنى القصد لأنه مصدرٌ قال ولا يوصف الله تعالى بأنه معنى لأن المعنى هو قصدٌ فلو بنا إلى ما نقصد إليه من القول. Abū Hilāl (2006, 45.13–16).

وَيُقَالُ إِنَّهُ عَزَّ وَجَلَّ مُعَيَّنٌ بِالتَّشْدِيدِ لِأَنَّهُ Abū Hilāl (2006, 45.16). See note 47 below. والمعنى هو القصد إليه هو المعنى إذا كان المقصود في الحقيقة. Abū Hilāl (2006, 45.16–19).

وَيُقَالُ إِنَّهُ عَزَّ وَجَلَّ مُعَيَّنٌ بِالتَّشْدِيدِ لِأَنَّهُ Abū Hilāl (2006, 45.16). See note 47 below. والمعنى هو القصد إليه هو المعنى إذا كان المقصود في الحقيقة. Abū Hilāl (2006, 45.16–19).

وَيُقَالُ إِنَّهُ عَزَّ وَجَلَّ مُعَيَّنٌ بِالتَّشْدِيدِ لِأَنَّهُ Abū Hilāl (2006, 45.16). See note 47 below. والمعنى هو القصد إليه هو المعنى إذا كان المقصود في الحقيقة. Abū Hilāl (2006, 45.16–19).

By this point, Abū Hilāl has used the words *ma' nā* and *ḥaqīqah* to make statements in two different ways. He used them as subjects in definitional statements: “*ma' nā* is intent” and “*ḥaqīqah* is use according to lexical precedent.” But he has also used the same two words as tools to explain how his language is working. When he said that the dictionary definition of “speech” can be “that to which intent attaches itself,” he said, “the *ma' nā* of speech is that . . .” (“the mental content of the word ‘speech’ is that . . .”) And when he explained al-Ġubbā’ī’s theological statement, he said that “the *ḥaqīqah* of this speech is that . . .” (“an accurate account of this speech is that . . .”) These two words, *ma' nā* and *ḥaqīqah*, are so omnipresent in any discussion about semantics that they do double work: they are used to explain themselves.

After using al-Ġubbā’ī and theology to clarify the boundary between the epistemological and the ontological, Abū Hilāl went on to consider examples from ordinary language usage of *ma' nā* and *ḥaqīqah*. First of all, while people do say, “the *ma' nā* of your speech is . . .” they do not say, “the *ma' nā* of your movement is . . .” People don’t talk about gestures as having *ma' nā*, but they do talk about words as having *ma' nā*. Abū Hilāl’s conscientious survey of ordinary language then led him to report that people do sometimes use *ma' nā* to talk about nonlinguistic events, for example in the phrase “your being admitted to see that person has no *ma' nā*.” This is found elsewhere—for example, in his history of Baghdad Ibn Abī Ṭāhir Ṭayfūr (d. 893, on whom see Shawkat Toorawa)<sup>42</sup> reports a ninth-century insult: “You have no *ma' nā* in the palace of the caliph!” (Josef van Ess translates *ma' nā* here as “function.”)<sup>43</sup> In order to negotiate the range of usages of the word *ma' nā*, Abū Hilāl used the Arabic linguistic concept of semantic extension (*tawassu'*). Words have *ma' nā*, and by a process of semantic extension, actions such as admittance into a powerful person’s presence may, or may not, have *ma' nā*. This extension works because the phrase “your being admitted to see that person has no *ma' nā*” can be reconstructed as “your being admitted to see that person has no benefit that is worth mentioning in a speech act.”<sup>44</sup> Having established the principle of semantic extension, Abū Hilāl chose to make a distinction between the way it applied to *ma' nā* and the way it applied to *ḥaqīqah*. He thought that ordinary language exhibited more semantic extension for *ḥaqīqah* than it did for *ma' nā*.<sup>45</sup> Both categories were primarily used for language: speech

42. Toorawa (2005).

43. Ibn Abī Ṭāhir Ṭayfūr (1949, 125), van Ess (1991–95, 3:159).

44. والمعنى مقصورٌ على القول دون ما يُقصدُ ألا ترى أنك تقول معنى قولك كذا ولا تقول معنى حركتك. كذا ثم تُوسّع فيه فقبل ليس لدخولك إلى فلان معنى والمراد أنه ليس له فائدة تُقصدُ ذكرها بالقول. Abū Hilāl (2006, 45.20–22).

45. وتُوسّع في الحقيقة ما لم يُوسّع في المعنى. Abū Hilāl (2006, 45.22–23).

has cognitive mental content (*ma' nā*) and things have lexically accurate accounts (*ḥaqīqah*) given of them. But whereas the use of *ma' nā* was largely restricted to cognition connected to language, the usage of *ḥaqīqah* could slip further away from language into the description of things.

Abū Hilāl's final remark in the entry is directed with an admirable frankness toward the most liminal case of the usage of *ma' nā*: the qualities of things in what we may call theological physics. This is a usage that I address in detail at the end of this chapter, in the sections "Theology" and "Theologians (Mu' ammar)." What led Abū Hilāl to consider this theological usage, despite his clear preference for making *ma' nā* be only about language, was his report that in ordinary language we say, "the *ḥaqīqah* of the movement is . . ." but we do not say, "the *ma' nā* of the movement is . . ." The reason that we do not talk about movements as having *ma' ānī* is, for Abū Hilāl, that people have already called the movements themselves *ma' ānī*: "They call the bodies and the accidents *ma' ānī*." The people he was talking about were the theologians, and "accident" is an Aristotelian word for a nonessential quality or property of a thing. Abū Hilāl thought that the reason movements were called *ma' ānī* was, again, the process of semantic extension, and he ended the entry with a reminder that such semantic extension is not an open-ended process: it cleaves to precedent.<sup>46</sup>

Al-Qāḍī 'Abd al-Ġabbār had used *ma' nā* in a very similar way when discussing a theological question related to Abū Hilāl's: the legitimacy of describing God as a "thing." Where Abū Hilāl had used *ma' nā* for the prelinguistic (or pre- and postlinguistic, in the case of an ongoing conversation between two people) cognition of speech acts, and of bodies, and of the accidental qualities of bodies, 'Abd al-Ġabbār described how *ma' nā* could be used for the prelinguistic cognition of speech acts, and of things, and of actions undertaken by those things. 'Abd al-Ġabbār wrote that "it is possible one could say about a fixed thing that it is a *ma' nā*, just as we say that the act of combining things is a *ma' nā*. According to this usage, it would be necessary to say that God is a *ma' nā*." Furthermore, just as Abū Hilāl had explained the relationship between the speech-act usage of *ma' nā* and the things/qualities-of-things usage as being one of semantic extension, so 'Abd al-Ġabbār explained the relationship between the intent-of-speech-act usage and the things/actions-of-things usage as being a different kind of semantic extension (in his case "going beyond the lexicon," *maġāz*, on which more below).

'Abd al-Ġabbār wrote, and here I am paraphrasing, that we can talk about both things and the act of combining things in the same way—as mental

46. فقبل لا شيء إلا وله حقيقة ولا يقال لا شيء إلا وله معنى ويقولون حقيقة الحركة كذا ولا يقولون معنى الحركة كذا هذا على أنهم سموا الأجسام والأعراض معاني إلا أن ذلك توسع والتوسع يلزم موضعه المستعمل فيه ولا يتعداه. Abū Hilāl (2006, 22–25).

content—because both are objects of thought about which we intend to talk. Furthermore, the word *ma' nā* is used for the intent (*qaṣd*) behind speech acts, but it is also used, by a process of semantic assimilation, for the target of those speech acts (*maqṣad*). But this does not work for God, and he cannot be called a mental content, although he can be the target of a speech act.<sup>47</sup> 'Abd al-Ġabbār left it to his reader to infer the reason for this final step in the reasoning: God is an object of thought for whom no comparisons or connections are possible or permissible. We can hypothetically consider the logic of a statement that God is a mental content, but the theological ramifications are too problematic. This is exactly what happened with Abū Hilāl. The linguistic description of God was carefully policed by theologians of all stripes. What Abū Hilāl and 'Abd al-Ġabbār confirm here is that *ma' nā* was used as a label for mental contents, for the things we hold in our minds and for the things to which we give names. The only limit on its usage and on its broad applicability to the things we think about was that it could not be easily used for the creator himself.

#### ADHERENTS OF *LAFẒ*, ADHERENTS OF *MA' NĀ*, AND THE PURSUIT OF *ḤAQĪQAH*

*Lafẓ* and *ma' nā*, vocal form and mental content, were the primary categories for discussions of language and mind. They were not theories but, rather, core conceptual vocabulary items that contained shared assumptions about what mind and language were. No one disagreed with their existence; no one denied that *lafẓ* or *ma' nā* existed. How, then, could these basic conceptual categories have supporters or be associated with controversies? How do we explain the existence of “adherents of mental contents” or “adherents of vocal forms” (*aṣḥāb al-ma' ānī* and *aṣḥāb al-lafẓ*)? The answer is that *ma' nā* had been used to do more than just theorize linguistic or hermeneutic processes. Al-Ġāḥiẓ, while engaged in an argument with Aristotle about frogs and fish and bemoaning the loss of knowledge to the vicissitudes of time, exclaimed that “it all comes down to the process of understanding *ma' ānī*, not vocal forms, and to the *ḥaqā' iq*, not to the expressions used to describe them.”<sup>48</sup> This is equivalent to us saying about Aristotle today, “It’s the ideas and getting them right that matters!” The pairing of *ma' nā* and *lafẓ* was characterized by opposition: an adherent of *ma' nā* would by definition be opposed to

47. وربما يقال في الشيء المثبت إنه معنى كما نقول إن التأليف معنى فعلى هذا الوجه يجب أن يقال فيه تعالى إنه معنى لكن ذلك إنما يُستعمل في هذا الوجه على هذا المجاز والتشبيه بالمقصد لأن ما يصح أن يُقصد إليه أجري عليه الاسم الذي يتعلّق بالقصد فلذلك لا يُستعمل فيه جلاً وعز (1965–74, 5:253.12–15).

48. ومدار الأمر على فهم المعاني لا الألفاظ والحقائق لا العبارات. Al-Ġāḥiẓ (1966–69, 5:542.6).

an adherent of *lafz*, just as the *ma' nā* of a sentence was by definition not the same as its *lafz*. The utility of the distinction between mental content and vocal form was that it was a binary.

The other word that al-Ġāhiz used, *ḥaqīqah*, was not on either side of this binary, but rather described the nature of the relationship between the two. Let us briefly address it here. *Ḥaqīqah* was used to denote the accuracy of a mental content, whether with regard to a vocal form in language, or with regard to extra-mental reality. Its plural form, *ḥaqā'iq*, was therefore “accuracies” or “accurate accounts.” In al-Ġāhiz’s exclamation, this “getting it right” was exactly what mattered. This usage was common across all disciplines, and having access to *ḥaqīqah* or the *ḥaqā'iq* was universally understood as a good thing. Ar-Rāḡib used the plural form in this way in his exegesis, as did Ibn Fūrak’s pupil al-Quṣayrī some decades later. Ar-Rāḡib: “This is the interpretation of the righteous forefathers, and of the owners of the *ḥaqā'iq* who know the *ḥaqīqah* of the soul referred to in this Hadith and its corporeal substance, but as for the later Mu‘tazilah . . .” We are not concerned here with ar-Rāḡib’s subsequent take on Mu‘tazilī interpretations of Hadith and Quran, but rather the way he uses *ḥaqīqah* and *ḥaqā'iq* for accuracy and truth in this quotation.<sup>49</sup> The phrase “accurate accounts of things” (*ḥaqā'iq al-umūr* or *ḥaqā'iq al-ašyā'*) was a common description of the target of philhellenic philosophy, and “accuracies” were the divine truths available through Sufism: *les réalités spirituelles* (Paul Nwyia translating Abū al-Ḥusayn an-Nūrī, d. 907).<sup>50</sup> In a recent and posthumously published article, Heinrichs identified the same constellation of usage for *ḥaqīqah* across early theology and Sufism, as well as the way *ḥaqīqah* functioned in a pairing with *maḡāz*.<sup>51</sup> In the tenth-century diagrammatic classification of the sciences by Ibn Farīḡūn, knowledge itself is defined as being “of things and their *ḥaqā'iq*.”<sup>52</sup> The phrase *ḥaqā'iq al-umūr* could be successfully rendered in English as “the essential nature of things” (Gutas) or “the profound realities” (Mohamed Arkoun, both translating Abū ‘Alī Miskawayh, d. 1030).<sup>53</sup> But when Arabic scholars in and before the eleventh century wanted to talk about truth and reality, they did not reach for a Latinate word meaning “deep” or for a logical category (“profound” and “essential,” respectively). Instead they reached for the conceptual vocabulary that is the subject of this book: it was mental contents

49. وهذا قولُ السلف وأصحاب الحقائق الذين عرّفوا حقيقة الروح المُعيّنة هاهنا وكونه جوهرًا له بذاته قوامٌ . . . Ar-Rāḡib (2003, 980.3–81.1). Cf. al-Quṣayrī (2000, 2:75.10).

50. Nwyia (1970, 324; cf. 272, 368).

51. Heinrichs (2016, 256).

52. [كذا] العلمُ معرفةُ الأشياء وحقايقها [كذا]. Biesterfeldt (2017), Ibn Farī‘ūn (1985, 133).

53. Arkoun and eds. (2009), Gutas (1983, 232). Cf. Wakelnig (2014, 326.8).

that mattered, and accurate accounts of them that needed to be pursued: *ma'ānī* and *ḥaqā'iq*, respectively.

*Ma'nā* and *ḥaqīqah* were used to describe and dignify the pursuit of truth, and this is how, as terms that could bear such value, they were used to structure controversies and hierarchies across many genres of scholarship. They were key components of a conceptual vocabulary that we can throw into relief by comparing it with how we use words like “meaning” in English. We use the phrase “theory of meaning” for a linguistic and philosophical account of reference and the connections between language and mind. But we also use “meaning” as a term laden with value: “a personal search for meaning in life” or, conversely, “a meaningless pursuit.” This combination is comparable to the Arabic use of *ma'nā* and *ḥaqīqah* in both accounts of reference and in the pursuit of broad philosophical and divine truths.

But we do not, in English, have “adherents of meaning.” In Arabic, that label did exist: *aṣḥāb al-ma'ānī*. Who were they? In the sections that follow, I review the major debates and controversies that took *ma'ānī* and *alfāz* as their labels. In literary criticism and theology the binary opposition of *lafz* and *ma'nā* came to stand for both positions and methodological approaches. This was a scholarly tradition that often turned to the vocabulary of linguistic structures in order to explain all kinds of epistemological and ontological debates, and that loved nothing more than to schematize and curate its own disagreements. There were adherents of *lafz* and *ma'nā* in arguments about the methodology of literary criticism, in debates about society that used *lafz* and *ma'nā* to label variant political philosophies, in analyses of syntax, in theological-hermeneutical arguments, and in dialectics on the philosophy of action that used *ma'nā* to explain cognition and physics. I will very briefly deal with each of these in turn, dipping into debates across a range of disciplines in order to highlight representative uses of the word *ma'nā*.

#### *Literary Criticism*

When eleventh-century literary critics argued about sound versus meaning in Arabic, they used the vocabulary of *lafz* and *ma'nā* as a way to draw distinctions between words and ideas. They were the primary vocabulary used to discuss how language worked. This does not mean that these arguments resulted in complete agreement about whether a certain poetic technique should be associated with *lafz* or with *ma'nā*; the matter of paronomasia, for example, could be considered a question of *lafz*, since the sound of the words was the location of the assonance or alliteration, but it could also be considered a matter of *ma'nā*. This was because when the mental contents associated with those vocal forms did not align and interact, the paronomasia would be to little effect (for reviews of such disagreements and the usage of the terms, see Iḥsān 'Abbās, Lidia Bettini, Kamal Abu Deeb, Wolfhart Heinrichs, Djamel Eddine Kouloughli, and, from the eleventh-century

itself, Abū ‘Alī Aḥmad al-Marzūqī, d. 1030).<sup>54</sup> But however much literary critics disagreed, the same core conceptual vocabulary of *lafẓ* and *ma‘nā* was in play. The two terms were always in the same relationship to each other, and they can always be translated as “vocal form” and “mental content.” The conversation about form and content is not, of course, unique to Classical Arabic. To take an example almost at random, Susan Sontag advocated in the late twentieth century for “essays which reveal the sensuous surface of art without mucking about in it.” Was she calling for a focus on *lafẓ* as opposed to *ma‘nā*? The problem is that the binary was constituted differently in her Anglophone theory and in al-Ġāḥiẓ or al-Ġurġānī’s Classical Arabic theory. Sontag wanted to “cut back content” because the mechanical drive to retrieve it leads us to ignore the sensory, and sensual, experience of form.<sup>55</sup> Some Arabic theory did use *ma‘nā* in this way: the Quran was on some accounts inimitable because it communicated mental content, subject matter, known only to God. Others argued that such a position missed the unique beauty of the Quran’s linguistic structure, its form. But here the two genealogically unconnected theories part ways: Sontag invested her form with erotics, whereas Arabic read its form as grammar. (In chapter 7 we will see how grammar, just like erotics, could lead to beauty.)

### *Politics and Society*

The relationship between vocal form and mental content was often, in the ninth and tenth centuries, a proxy for broader critical discussions of the nature and purpose of literature. Perhaps the most famous moment came when al-Ġāḥiẓ cited the opinion that mental contents were merely strewn in the street and accessible to the masses and foreigners, whereas vocal forms were the true site of eloquence and linguistic skill. When it came to assessing Arabic eloquence, word choice and poetic meter reigned supreme.<sup>56</sup> This passage was so famous that al-Ġurġānī included an extended reading of it in the opening discussions of the *Asrār*, a reading that showed al-Ġāḥiẓ to be privileging the interaction of mental contents over the interactions of a rhyme scheme (cf. Jeannie Miller).<sup>57</sup> Elsewhere, however, al-Ġāḥiẓ presented his readers with a conflicting opinion, arguing that true elo-

54. ‘Abbās (1971, 140, 370–71, 403–4, 422–25); Abu Deeb (1979, 50–52), (1990, 354–55); Bettini (2011, 110f), Heinrichs (1998); Kouloughli (2016a), (2016b); al-Marzūqī and Abū Tammām (1991).

55. Sontag (1966, 13, 14).

56. وذهب الشيخ إلى استحسان المعنى والمعاني مطروحة في الطريق يعرفها العجمي والعربي والبدوي والقروي وإنما الشأن في إقامة الوزن وتحيز اللفظ وسهولة المخرج في صحة الطبع وجوده السبك. Al-Ġāḥiẓ (1966–69, 3:131.9–132.1). Cf. Miller (2016b).

57. لأنه رأى التوفيق بين المعاني أحق والموازنة فيها أحسن. Al-Ġurġānī (1954, 10.5). Jeannie Miller forthcoming in the *Journal of Abbasid Studies*.

quence was effective communication. The ultimate test of communication was to communicate elite mental contents to the masses, clothing them along the way with the intermediaries of correct vocal forms. He wrote that a noble mental content simply deserved a noble vocal form.<sup>58</sup> In these contradictory positions, ethical and political arguments about literature and eloquence were at stake. Mental content was either tarred by its association with the street or reified as elite truth. In these passages, al-Ġāhiz̄ was not concerned with the structure of language, nor with mechanisms of signification or reference; rather, he was using the words *lafz* and *ma' nā* as labels for vectors of concern to him in ninth-century Iraq, and he was not alone in doing so. The question as to whether Arabic eloquence should enable elites to communicate with the masses or whether in fact it enabled elites to separate themselves from the masses was a political issue.

The Iḥwān aṣ-Ṣafā' (a mysterious group of tenth-century authors)<sup>59</sup> took the pairing in a slightly different direction. For them, the inarticulate masses and eloquent elites both understood mental contents (equivalent to al-Ġāhiz̄'s "strewn in the street"). However, women, children, and the masses then falsely located eloquence in the sweet and pure sounds of words. The Iḥwān considered such popular assumptions to be false, and thought that not everything that sounded nice was eloquent. Bawdy songs, for example, were mental contents with no accuracy: *ma' ānī* with no *ḥaqīqah* sung by drunkards and children. The mental contents that the Iḥwān did care about were *ḥaqīqah*: accurate praise that was actually deserved by its recipient, balanced on a happy medium by equally legitimate criticism. The language they valued communicated these *ma' ānī* effectively, and effective communication was important because the stakes were high: *ma' ānī* were principles first conceived in the soul with precision, but *alfāz* (vocal forms) were for the Iḥwān base matter; *ma' ānī* were like souls and *alfāz* like bodies. What troubled the Iḥwān here was not the relationship between language and mind, nor indeed the question of how to determine eloquence. When they thought about mental content they felt threatened by women and children having access to mental content in the same way as they did, because everybody used speech to communicate. For elitists with a spiritual and emancipatory project this was a problem, and the Iḥwān solved it by using *ḥaqīqah* as a claim of accuracy that separated their own true, accurate, spiritually achieved *ma' ānī* from the base ideas expressed by their inferiors in drunken song. When the Iḥwān spoke, they described the result with the words *ma' nā* and *ḥaqīqah*, loading both words

فإنَّ حقَّ المعنى الشريف اللفظ الشريف .. إلى أن تُفهم العامة معاني الخاصة وتكسوها الألفاظ. Al-Ġāhiz̄ (1960, 1:136.6, 136.15–16). Cf. Key (2012, 172–75), Thomas (2000, 112f).

59. Callatay (2005), Iḥwān aṣ-Ṣafā' (2010–), Netton (2002).

with ethical and political values. Their speech was accurate communication of a mental content. When everyone else spoke, it was the noise of animals, madmen, drunkards, children, and women.<sup>60</sup>

### Linguistics

Al-Ġāḥiẓ used the pairing of *lafẓ* and *ma'nā* to discuss the position of Arabic eloquence between elites and masses, and the Iḥwān used the same pairing to reinforce their own elite status. These ethical and political polemics about literature and society proved frustrating for scholars who wanted to focus on the mechanics of how language worked. If language itself was the subject of inquiry, it was painfully obvious that vocal form and mental content worked together and that they were only separated and given priority over each other in the service of polemic. Ibn Ġinnī (Abū al-Faḥ 'Uṭmān, d. 1002) wrote against the idea that the *lafẓ-ma'nā* pairing could be meaningfully separated. The fact that vocal forms were important did not mean that the mental content being communicated was irrelevant. Vocal forms were simply the way to get a point across. For example, one might make a proverb rhyme so it could be remembered, in which case the vocal form of the proverb would impact the reception of its mental content.<sup>61</sup> Al-Ġurġānī was frustrated by these discussions too, and by the imprecision of the trope, invoked by even Ibn Ġinnī, that vocal forms were the servants of mental contents. Al-Ġurġānī wanted to map the connections between language and cognition but was forced to deal with ethical polemics and metaphorical or theologically motivated explanations that he thought were subject to misinterpretation. I do not mean to imply that al-Ġurġānī's frustration is evidence of any inconsistency between the polemical use of *lafẓ* and *ma'nā* in al-Ġāḥiẓ or the Iḥwān and the linguistic use of *lafẓ* and *ma'nā* in Ibn Ġinnī and al-Ġurġānī. In all cases the pairing referred to the same two levels of physical linguistic vocal form and cognitive mental content. But Ibn Ġinnī and al-Ġurġānī were more concerned with how the levels interacted than with one level being "better" than the other. From this perspective the very opposition of the two levels was unproductive: both were *prima facie* involved in language.

Ibn Ġinnī and al-Ġurġānī used the pairing of *lafẓ* and *ma'nā* to explain syntax. Al-Ġāḥiẓ and the Iḥwān used the same pairing to label political dynamics, in effect thinking of language politics in terms of language itself. To understand this difference, we may imagine an author using "signifier" and "signified" in an article on

60. Iḥwān aṣ-Ṣafā' (1957, 3:119.7–122.3).

61. فإنها [الألفاظ] عنوانٌ معانيها وطريقاً إلى إظهار أغراضها ومراميتها . . . ألا ترى أنَّ المثل إذا كان مسجوعاً لَدَّ لِسَامِعِهِ فَحَفِظَهُ . . . فإذا رأيتَ العربَ قد أصلحوا ألفاظاً وحسَّنوها . . . فلا تری أنَّ العنايةَ إذ ذاك إنما هي بالألفاظ بل هي عندنا خدمةٌ منهم للمعاني.

how good Barack Obama's rhetoric was. I use this thought experiment to suggest three things: that the use of linguistic categories outside linguistic disciplines was more prevalent in pre-eleventh-century Arabic than it is in twenty-first-century English, that this breadth of usage does not imply any dissonance in meaning, and that this breadth of usage could give a literary-critical flavor to conversations about politics, society, and more.

### Theology

My next example of precedent comes from theology and the definition of "monotheism" (*tawhīd*). A famous late-tenth-century scholar, Abū Ḥayyān at-Tawhīdī (d. 1023), was reviewing definitions of core conceptual vocabulary that had been provided by his teacher Abū Sulaymān as-Siġistānī (d. ca. 985, on whom see Joel Kraemer).<sup>62</sup> The definition comprised a belief in God's oneness together with a verbal profession of God's oneness. At-Tawhīdī reported that as-Siġistānī, after this definition, had gone on to explain that when he said, "a person professed God's unity," he was referring not to a simple verbal profession, but to a thoroughgoing conception of the unity of God that went beyond denials of polytheism to conceive of an unblemished, unqualified, and indescribable essence captured by the phrase "he is one alone; he alone is one." That essence was a *ma'nā*, and as mental content the power of the phrase should not, as-Siġistānī said, be located in its syntactic symmetry, "as is the habit of the adherents of *lafẓ*."<sup>63</sup> The words "he is one alone; he alone is one" are not theologically salient because of their repetition and inversion (an antimetabole), but rather because of the deep mental content they convey. What we have here is the use of the pairing of vocal form and mental content to privilege mental content and denigrate critical focus on the level of vocal form. It seems that the methodology from which as-Siġistānī and at-Tawhīdī wanted to distinguish themselves was a literary-critical approach to theology: the adherents of *lafẓ* are accused of having located the theological force of "he is one alone; he alone is one" in the antimetabole itself.

Just like the Iḥwān, as-Siġistānī used the pairing of vocal form and mental content to privilege the latter. When he said "adherents of *lafẓ*," he meant people whose readings were not to be trusted. What then might it mean to have adherents

62. Kraemer (1986).

63. وليس معنى قولنا وَحَدَّ فَلَانٌ أَنَّهُ قَالَ هُوَ وَاجِدٌ هَذَا مَفْهُومٌ الْعَامَّةُ لَا مَعْقُولٌ الْخَاصَّةُ بَلْ مَعْنَى قَوْلِنَا وَحَدَّ أَي عَرَفَهُ وَاجِدًا وَعَلِمَهُ وَاحِدًا وَأَثَبَتْ وَاجِدًا وَوَجَدَ وَاجِدًا [ذَكَرَ الْمُحَقِّقُ حَسِينَ أَنَّ فِي التَّحْقِيقِ السَّابِقِ لِلسَّنَدِيِّ: عَلِمَهُ وَ أَثَبْتَهُ وَوَجَدَهُ] لَا لِأَنَّهُ نَفَى عَنْهُ الثَّانِي وَالثَّلَاثَ فَصَاعِدًا وَكَيْفَ ذَلِكَ وَلَا ثَانِي لَهُ فَيُنْفَى وَلَكِنْ لِأَنَّهُ وَاحِدٌ وَحَدَّهُ بَلْ هُوَ وَحَدَّهُ وَاجِدٌ لَا عَلَى سَبِيلِ نَسْقٍ فِي عَادَةِ أَصْحَابِ اللَّفْظِ وَلَا عَلَى تَعْقِيبِ يَفْتَضِيهِ أَلْفُ أَكْثَرِ الْخَلْقِ وَحَدَّهُ بَلْ هُوَ وَحَدَّهُ وَاجِدٌ لَا عَلَى لِحْظِ ذَاتٍ لَا شَرَوْبَ فِيهَا. At-Tawhīdī (1970, 457.5-9).

of *ma' nā*? What implications might such a phrase convey? On the one hand it could be used to describe interpretation (whether criticism of poetry or exegesis of scripture) that focused on the meaning behind the words. It could also be used for poets who aimed primarily at complex metaphor (Ibn Ṭabāṭabā, d. 815, quoted by al-Marzūqī).<sup>64</sup> But the phrase “adherents of *ma' nā*” had a specific theological history. It was perhaps first used to refer to a group of theologians who subscribed to a doctrine proposed by the ninth-century theologian Mu‘ammar b. ‘Abbād (d. 830) about the functioning of things he called *ma' ānī*. This is a doctrine that deserves its own special section, which is up next. I have deliberately left it to the end of my survey of precedents despite the inversion of chronology this involves: Mu‘ammar’s *ma' ānī* need to be read in the context of everyone else’s.

Let us return to al-Ġāhiz. His work engaged with theology on such a deep and systematic level as to make the distinction between literature and theology meaningless (see Miller’s review of James Montgomery),<sup>65</sup> and he wrote that the Quranic statement “God taught Adam all the names” meant that God taught Adam “all the *ma' ānī*” (Quran 2:31, al-Baqarah, on which see further below). But al-Ġāhiz then went on to say that by *ma' ānī* he did not mean “the constitution of colors, tastes, and smells, or the multiplications of finite and infinite numbers.” He was clearly sensitive to the fact that *ma' nā* was used both for the mental contents connected to words and for the mental contents that result from cognition of either the qualities of physical bodies or the components of arithmetic. Al-Ġāhiz then wrote: “The only way to name those mental contents that exceed the bounds of what is required or go beyond the limit of a description is to enter them into the sphere of knowledge and say, ‘a thing and a *ma' nā*.’”<sup>66</sup> Al-Ġāhiz knew that mental content *in toto* was a broader category than the mental content connected to names by the lexicon. The way to deal with arithmetic or the cognition of physical bodies and their qualities was therefore to *name* a thing and then also voice the extra bit of mental content required to specify what one is talking about. One could think, for example, about a camel that smelt of lemons and thereby one would have a mental content of “camel smelling of lemons.” But there is no name in the lexicon for a package thus constituted. One would have to say “a camel smelling of lemons” and thereby (in al-Ġāhiz’s vocabulary) name both a thing and a *ma' nā*. This is why

وهم أصحاب المعاني فطلبوا المعاني المُعْجِبة . . . وَجَعَلُوا رُسُومَهَا أَنْ تَكُونَ قَرِيبَةً الشَّبِيهِ لِأَثَقَةِ . . . الاستعارة  
64. al-Marzūqī and Abū Tammām (1991, 7,7–10), al-Abbās (1971, 404).

65. Miller (2016a).

66. وَقَوْلُهُ جَلَّ ذِكْرُهُ ﴿وَعَلَّمَ آدَمَ الْأَسْمَاءَ كُلَّهَا﴾ إِبْخَارٌ أَنَّهُ قَدْ عَلَّمَهُ الْمَعَانِي كُلَّهَا وَلَسْنَا نَعْنِي مَعَانِي تَرْكِيْبِ الْأَلْوَانِ وَالطَّعُومِ وَالْأَرَائِيْحِ وَتَضَاعِيْفِ الْأَعْدَادِ الَّتِي لَا تَنْتَهِي وَلَا تَنْتَاهِي وَلَيْسَ لِمَا فَضَّلَ عَنْ مَقْدَارِ الْمَصْلُحَةِ وَنَهَايَةِ الرَّسْمِ اسْمٌ إِلَّا أَنْ تَدْخُلَهُ فِي بَابِ الْعِلْمِ فَتَقُولُ شَيْءٌ وَمَعْنَى . . . Al-Ġāhiz (1965b, 1:262.12–15). Cf. translation and analysis in Miller (2016b, 68).

when al-Ġāhiz said that God taught Adam all the *ma'ānī*, al-Ġāhiz did not mean that God taught Adam every possible mental content. God did not teach Adam the composition “camel plus lemon smell”; rather, he taught Adam the mental content “camel” and the mental content “lemon smell,” just as he taught Adam the mental content of each number but not the mental content of every possible arithmetical composition. In any case, color, taste, and smell were *ma'ānī*.

This same assumption can also be read outside Islamic theology. A century later in Baghdad, the Jewish theologian Saadiya Gaon (d. 942) was engaged in refuting the belief that all composite bodies were created from eternal spiritual beings. One of his objections to this theory was that such spiritual beings would have to have heat, cold, moistness, and dryness in order to be the source of those same four attributes in physical bodies, as was claimed by his opponents. Equally, he could not accept that the posited spiritual beings had color, taste, limit, dimension, quantity, place, or time, because “all these *ma'ānī* were attributes of bodies,” and the spiritual beings were claimed to be prior to bodies.<sup>67</sup> Gaon’s argument was that the theory was incoherent. On the one hand it implied that the spiritual beings needed heat and cold in order to be the source of heat and cold in bodies. On the other hand, it implied that because the spiritual beings were prior to bodies, they could not have color or quantity. The Arabic word that Gaon used for all these different attributes of physical bodies was *ma'nā*. Heat, cold, dimension, and quantity were all *ma'ānī*. If we translate *ma'ānī* as “mental contents,” then Saadiya was assuming that hot, cold, and all the other attributes of physical bodies were cognitive judgments: things that we judge, in our minds, other things to have.

#### THEOLOGIAN (MU'AMMAR)

The theological discussions that used *ma'nā* are dominated by Mu'ammār, whose ninth-century claim about what we now call physics, the study of the basic principles that govern the physical world around us, used *ma'nā* as its central concept. As we saw from Abū Hilāl, this usage of *ma'nā* was noticed by his contemporaries, who worked to explain it, just as scholarship would work to explain it again in German, English, and French over a millennium later. Let us start with the presentation of the theory by Abū al-Ḥusayn al-Ḥayyāt (d. ca. 913) in his *Kitāb al-Intiṣār*. “Chapter 34: Mu'ammār’s statements on generation and on *ma'ānī*.”<sup>68</sup> Al-Ḥayyāt

أرى أن هذه الأشياء التي ادّعوا لا يجوز أن تكون لا حارة ولا باردة ولا رطبة ولا يابسة إذ عندهم أن هذه الأربع منها خلقت وأرى أيضاً أنها لا يجوز أن يكون لها لون ولا طعم ولا رائحة ولا حد ولا مقدار ولا كثرة ولا قلة ولا في مكان ولا في زمان لأن هذه المعاني كلها هي صفات الأجسام وتلك الأشياء هي عندهم قبل الجسم. Gaon (1880, 42.12–17), (1948, 52); Wolfson (1976, 117 n. 29).

68. قوله في التولد وفي المعاني. Al-Ḥayyāt (1957, 46.10). Cf. van Ess (1991–95, 5:264–65).

starts the chapter with a review of what appears to be a self-evidently unlikely theory: Mu‘ammar’s claim that every single action in the world is actually accompanied by thousands and thousands of other actions stretching to infinity. Every act of every actor, whether God or human, is actually an infinite number of acts that occur at the same time. Al-Ḥayyāt then works to reduce the counterintuitiveness of this theory, first by explaining that Mu‘ammar was in fact responding to another early theologian, Abū Ishāq an-Nazzām (d. ca. 840), who held that when God acts he does so in a single state but on an infinite number of bodies. Now, it may seem that Mu‘ammar was claiming that if each action has infinite objects then it must in fact be an infinite number of actions. But this is not the case, and here al-Ḥayyāt makes an important statement about *ma‘nā*: “You should know that this school of thought that I am describing from the statements of Mu‘ammar is in fact a statement about *ma‘ānī*.” Al-Ḥayyāt explains that Mu‘ammar claimed that when there are two motionless bodies next to each other, and then one of them moves and the other doesn’t, then there must have been a *ma‘nā* subsisting in the one that moved, on account of which it moved, and no such *ma‘nā* in the other. Otherwise, it could not have moved before the other one.<sup>69</sup> So for Mu‘ammar, on al-Ḥayyāt’s reading, *ma‘nā* is something that a body has on account of which it moves. But where does that *ma‘nā* come from? Al-Ḥayyāt tells us that Mu‘ammar’s answer was that there was another *ma‘nā* that caused the first *ma‘nā* to be there, and so on.<sup>70</sup> Perhaps a decade or so later, Abū Qāsim al-Ka‘bī (d. 931) wrote that Mu‘ammar was the only person to have such a theory, and paraphrased it thus: every instance of motion is only at variance from a state of rest because of a *ma‘nā* separate from that motion, and vice versa. Each of those *ma‘ānī* is then only at variance from the other because of another *ma‘nā*, and so on to infinity.<sup>71</sup>

Mu‘ammar’s claim also appears in the *Maqālāt al-Islāmīyīn* of al-Aṣ‘arī, a foundational text of Arabic theology. Al-Aṣ‘arī’s description of Mu‘ammar’s position

اعلمْ علمك الله الخَيْرَ أنَّ هذا المذهب الذي وصفه صاحبُ الكتاب [ابن الراوندي] من قول معمرٍ 69. هو القول بالمعاني وتفسيره أنَّ معمرًا زعم أنه لمَّا وجد جسمين ساكنين أحدهما يلي الآخر ثم وجد أحدهما قد تحركَ دون صاحبه كان لا بد عنده من معنى حلَّه دون صاحبه من أجله تحركَ وإلا لم يكن بالتحركِ أولى صاحبه Al-Ḥayyāt (1957, 46.16-19).

فإذا كان هذا حُكماً صحيحاً فلا بد أيضاً من معنى حدث له حلَّت [من أجله (نادر)] الحركة في أحدهما 70. دون صاحبه وإلا لم يكن حلولها في أحدهما أولى من حلولها في الآخر وكذلك أيضاً إنْ سُئِلْتُ عن ذلك المعنى لِمَا كان علَّةً لِحلول الحركة في أحدهما دون صاحبه قُلْتُ لمعنى آخر وكذلك أيضاً إنْ سُئِلْتُ عن ذلك المعنى كان جوابي فيه كجوابي فيما قبله Al-Ḥayyāt (1957, 46.20-24).

والذي تفرَّد به [معمرٌ] القول بالمعاني وتفسيرها أنَّ الحركة إنما خالفت السكونَ لمعنى هو غيرها 71. وكذلك السكونُ إنما خالفَ الحركةَ بمعنى هو غيره وأنَّ ذَيْنِكَ المعنيين إنما اختلفا أيضاً بمعنى هو غيرهما ثم كذلك كل معنيين اختلفا بمعنيين غيرهما إلى ما لا نهاية له Al-Ka‘bī (1974, 71.6-9). Cf. Ibn Hazm (1899-1903, 5:46.14-24), van Ess (1991-95, 5:265-66).

(for we are always dependent on the reports of others when it comes to Mu‘ammar, none of whose work has been preserved)<sup>72</sup> reads: “Some say that the body, if at rest, is only at rest because of a *ma‘nā* that is movement. Without that *ma‘nā* of movement the body would not, back in the time when it was the first to move, have been the first to move.”<sup>73</sup> According to al-Aš‘arī, Mu‘ammar even explained the state of rest as being the result of a prior *ma‘nā*-driven movement. This is a theory in which it is assumed that things have *ma‘ānī* that make them do things, or give them color, or make them alive, and so on. Each *ma‘nā* is then dependent on a further *ma‘nā*, and that *ma‘nā* on a further *ma‘nā*, in an infinite chain of dependence. At every stage, the *ma‘nā* of movement is the only thing that makes the previous *ma‘nā* of movement move. These *ma‘ānī* depend on each other, but “they do not have a sum total, and they cannot be gathered together. They all occur in the same instant.”<sup>74</sup> According to this theory, there is no other explanation for why some things are black and others white, some things moving and others not, some things alive and others not. The *ma‘ānī* are “actions of the place in which they inhere.”<sup>75</sup> This is a conceptual vocabulary for a physics that has no correlate in English. Mu‘ammar’s theory, according to al-Aš‘arī, is that if something is white, black, moving, or alive, then something must make it white, black, moving, or alive. The *ma‘ānī* that do this then need to be made what they are by other *ma‘ānī*, and so on to infinite regress.

What are these *ma‘ānī*, and where are they? As Abū Hilāl noted, this is a theological usage of the word that is connected with what he believed was its core meaning: prelinguistic cognition or mental content. The clue that we get in the theological texts themselves is that *ma‘nā* was a broad category, from which one could distinguish more technical categories such as the accidental quality or attribute (*‘araḍ*). As al-Aš‘arī says, theologians “disagreed about why the *ma‘ānī* that inhered in bodies were called ‘accidents.’”<sup>76</sup> Furthermore, on the page directly preceding his discussion of that disagreement about why a *ma‘nā* might be called an accident, al-Aš‘arī used the very same word to talk about language, reference, and

72. Daiber (2015).

73. واختلف الناس في المعاني فقال قائلون إنّ الجسم إذا سَكَنَ فإنما يَسْكُنُ لمعنى هو الحركة لولاه . لم يكن بأن يكون متحركاً أُولَى من غيره ولم يكن بأن يتحرك في الوقت الذي يتحرك [فيه (ريتس)] أُولَى منه . بالحركة قبل ذلك . Al-Aš‘arī (1929–33), 372.2–5). Cf. translations and analysis in Sabra (2006, 241–42), van Ess (1991–95, 3:74–83, 5:266–67).

74. وإذا كان ذلك كذلك فذلك الحركة لو لا معنى له [كذا] كانت حركةً للمتحرِّك لم تكن بأن تكون حركةً أُولَى منها أن تكون حركةً لغيره وذلك المعنى كان معنى لأن كانت الحركة حركةً للمتحرِّك لمعنى آخر وليس للمعاني كلٌّ ولا جميع وإنها تحدّث في وقت واحد . Al-Aš‘arī (1929–33, 372.5–8). Cf. translations and analysis in Sabra (2006, 241–42), van Ess (1991–95, 3:74–83, 5:266–67).

75. المعاني التي لا كلُّ لها فِعْلٌ للمكان الذي حَلَّتْه . Al-Aš‘arī (1929–33, 372.13–14).

76. Al-Aš‘arī (1929–33, 369). For translations: Dhanani (1994, 38–39), Sabra (2006, 240–42).

meaning: “Al-Iskāfi was one of those who said that the *ma' nā* of the statement with regard to the created thing was that it . . .”<sup>77</sup> These are the two usages that Abū Hilāl identified, working together unmarked and unremarked upon. *Ma' nā* was both prelinguistic cognition and cognition of physical forces, what Hans Daiber calls “ein Relationsbegriff von ontischer Qualität.”<sup>78</sup>

In his section on theological disagreements about movement and rest, al-Aṣ'arī cited the opinion of al-Ġubbā'ī that movement and rest are ways of being in a place, and that: “the *ma' nā* of movement is the *ma' nā* of passing away; every movement is a passing away. But the *ma' nā* of movement is not the *ma' nā* of changing position; the nonexistent movement is called passing away before it comes to be. It is not called changing position.”<sup>79</sup> Al-Ġubbā'ī was making a distinction between three related technical concepts: “movement,” “passing away,” and “changing position.” In physics today we may call these “forces” or “interactions.” Whatever the translation, we are talking about principles that govern the physical world. Al-Ġubbā'ī was using a vocabulary based around *ma' nā*, and from our perspective today, it looks as if he is saying two things at the same time: that the Arabic word for movement did not mean the same thing as the word for passing away or the word for changing position, but also that the quality or force of “movement,” when present in an object in extramental reality, was not the same quality or force as “passing away” or “changing position.” This was both lexicography and theological physics. In English, we tend to use different phrases for each of these. We may therefore say either that “‘normal force’ does not mean the same thing as ‘applied force,’ or that: “normal force is not the same thing as applied force.” When Arabic theorists, whether lexicographers like Abū Hilāl or theologians like ‘Abd al-Ġabbār, wanted to make the same distinction they did so using different conceptual vocabulary with an equivalent degree of clarity. Abū Hilāl described the difference between “does not mean” and “is not” as being a difference between a process of intent on the one hand, and a target of intention on the other. He said that the phrase “*ma' nā* of . . .” was used for both statements, in the former case with accuracy and in the latter case by a process of semantic extension. ‘Abd al-Ġabbār agreed, and his name for the process of semantic extension was *maġāz*. In English, we use quotation marks and the phrases “means” and “is” to make the distinction. In Arabic, theorists used a core conceptual vocabulary based around language and reference to do the same job. For al-Ġubbā'ī, of course, the distinction did not matter. He

77. Al-Aṣ'arī (1929–33, 368).

78. “A relational concept of ontological quality”: Daiber (1975, 82).

79. وكان الجبائي يزعم أنّ الحركة والسكون أكوان وأنّ معنى الحركة معنى الزوال فلا حركة إلا وهي زوال. وأنه ليس معنى الحركة معنى الانتقال وأنّ الحركة المعدومة تُسمّى زوالاً قبل كونها ولا تُسمّى انتقالاً. Al-Aṣ'arī (1929–33, 355.12–14). Thanks to David Bennett for the reference.

was talking about both the meaning of the word “movement” and the extramental reality of the physical interaction of objects that was movement.

Another way we can think about the usage of *ma' nā* in theology is to notice that it was often used to talk about things one could think about but not see. If something was a body (and therefore both extramental and able to be seen), then it would not be *ma' nā*. So for the early and influential Shia theologian Hišām b. al-Ḥakam (d. ca. 803), human qualities were *ma' ānī*. They could not be things or bodies, so they had to be *ma' ānī*, what we can think about and talk about but not see. (In Hišām's theology, “things” were what al-Aš'arī tended to call “bodies.”)<sup>80</sup> Along the same lines, al-Aš'arī also reports that Ġā'far b. al-Mubaššir (d. 849) said that the soul was not a body, nor in a body, but rather a *ma' nā* between the atom and the body.<sup>81</sup> David Bennett has raised the further question of whether the word *ma' nā* was used for not doing something or for the absence of movement: al-Ašarī records disagreements about whether not acting was a *ma' nā* separate from the person (not) doing it,<sup>82</sup> and that Hišām and others considered movement to be a *ma' nā* whereas being at rest was not.<sup>83</sup>

The potential limit on this use of *ma' nā* for the cogitated unseen was, as we saw above with Abū Hilāl and 'Abd al-Ġabbār, whether it could be used for God. Abū al-Ḥasan al-Āmirī (d. 992) was prepared to connect a Neoplatonic rational soul to what he called “the divine *ma' nā*,” but theologians avoided such locutions.<sup>84</sup> In another report in *Maqālāt al-Islāmīyīn*, Ibn Kullāb (d. ca. 855) had said that while God was unlike any other he could not be said to be a *ma' nā*.<sup>85</sup> This seems to make sense; one could describe the soul as a *ma' nā*, or attributes and qualities as *ma' ānī*, or explain physical forces and their absence with *ma' ānī*, because all these were in effect mental content; they were human cognitions that could be subsequently communicated in language. Even al-Āmirī's divine *ma' nā* can be fitted into this account, for when he talks elsewhere of *ma' ānī ilāhīyah*, in the plural, he is dealing with the divine matters that pious human beings pursue and seek to apprehend. Everett Rowson's translation of this process is “determining divine concepts.”<sup>86</sup>

80. وحكي عن هشام أنه كان يزعم أن صفات الإنسان أشياء لأن الأشياء هي الأجسام عنده وكان يزعم وحكي عن هشام أنه كان يزعم أن صفات الإنسان أشياء لأن الأشياء هي الأجسام عنده وكان يزعم أنها معانٍ وليست بأشياء. Al-Aš'arī (1929–33, 344.15–345.1). Thanks to David Bennett for the reference.

81. Al-Aš'arī (1929–33, 337.2–3), Gimaret (1988, 155).

82. واختلف المتكلمون في الترك للشيء والكفّ هل هو معنى غير التارك. Al-Aš'arī (1929–33, 378.13–14), Bennett (2017).

83. وحكي زرقان عن هشام بن الحكم أنه كان يزعم أن الحركة معنى وأنّ السكون ليس بمعنى . . . . حكاة أبو عيسى عن أصحاب الطبايع. Al-Aš'arī (1929–33, 345.2–5), Bennett (2017).

84. فقد ظهر إذا أنّ النفس النطقية يقيناتها الأبدية وصورها العقلية أشبه شئ بالمعنى الإلهي. Al-Āmirī and Rowson (1988, 106.9).

85. Al-Aš'arī (1929–33, 496.9), Frank (1999, 216 n. 115).

86. تقرير المعاني الإلهية. Al-Āmirī and Rowson (1988, 128–32).

Calling God a *ma' nā*, however, was not permissible for 'Abd al-Ġabbār: "God cannot be described as a *ma' nā*, because *ma' nā* is the intent of the heart to speak about what it wants. This is why we say, 'The *ma' nā* of this speech is such and such,' and 'My *ma' nā* in this discourse is this and that,' and why someone may ask their companion, 'What is your *ma' nā* in that speech?'"<sup>87</sup> 'Abd al-Ġabbār was citing examples from ordinary language to show that *ma' nā* is prelinguistic intent. He then went on to note, just as Abū Hilāl had, the usage made famous by Mu' ammar: "The theologians have acquainted each other with the use of this vocal form for causes, so they say that 'the moving thing moves because of a *ma' nā*.' They use that statement in place of the statement 'It is moving because of a cause.'"<sup>88</sup> 'Abd al-Ġabbār did not distinguish at all between the *ma' ānī* that were prelinguistic mental contents and the *ma' ānī* that Mu' ammar believed were an infinite series of causes: if we allow Mu' ammar's infinite causal *ma' ānī*, then "this will lead to an inability to put faith in accurate accounts of names."<sup>89</sup> The *ma' nā* that Mu' ammar used to explain causality and physical forces was the same *ma' nā* that lay behind names in language.

*Ma' nā* was a word that was available for Mu' ammar to pick up and use. He used it in a way consistent with his peers. What theories may have influenced him, and how he may have been inspired by reading the work of others, are questions of translation. We can speculate as to what foreign concepts may have influenced Mu' ammar as he thought about causality. Harry Austryn Wolfson suggested that Mu' ammar was translating the Aristotelian term *phusis* and that his theory of *ma' ānī* "represents his theory of nature [*phusis*] as the cause of motion and rest."<sup>90</sup> This is quite possible, for the *phusis* Aristotle discussed at the beginning of Book Two of his *Physics* was described there as existing, and just like *ma' nā* it was also only conceptually separable from the thing in question.<sup>91</sup> But Aristotle's conceptual vocabulary was not the same as Mu' ammar's, and we cannot easily map *phusis* onto *ma' nā*. For example, the distinction Aristotle draws between natural materials (where *phusis* is found) and man-made objects (where *phusis* is not found) is central to his theory,<sup>92</sup> but to the

87. Al-'Āmirī and Rowson (1988).

ولا يوصف [الله] بأنه معنيّ قال شيخنا أبو علي لأنّ المعنى هو قصد القلب بالكلام إلى المراد ولذلك يقال إنّ معنى هذا الكلام كَيْتٌ وكَيْتٌ وإنّ معنای بهذا الخطاب كذا وكذا ويقول القائل لصاحبه ما معنك في الكلام. Al-Qāḍī 'Abd al-Ġabbār (1965–74, 5:253.4–7).

88. وقد تعرف المتكلمون استعمال هذه اللفظة في العجل فيقولون إنّ المتحرك متحرك لمعنيّ ويُقيّمونه. Al-Qāḍī 'Abd al-Ġabbār (1965–74, 5:253.9–10).

89. وهذا يؤدّي إلى أنّ نتيقّ بحقائق الأسماء. Al-Qāḍī 'Abd al-Ġabbār (1965–74, 7.9–13, 18). Cf. Miller (2016b, 69).

90. Kelsey (2015, 44); Wolfson (1965, 684), (1976, 147f).

91. Arist. *Ph.* 193b5.

92. Arist. *Ph.* 192b.

best of my knowledge it is extraneous to Mu‘ammar’s. There is also the evidence from the contemporaneous translation movement: when Ishāq came to translate Aristotle’s *Physics*, he did not use *ma‘nā* for either Aristotle’s *phusis* (“nature”) or his *archēn kinēseōs* (“starting principle of motion”), but rather *ṭabī‘ah* (“nature,” a word indeed later used for causation and as such summarily dismissed by Ibn Fūrak)<sup>93</sup> and *mabda’ li-l-ḥarakah* (“starting point of motion”), respectively.<sup>94</sup> Wolfson’s other suggestion, that Mu‘ammar’s *ma‘nā* comes from the reports of Christian theologians describing the Trinity as an eternal *ma‘nā*, is equally possible.<sup>95</sup> It is not impossible that theologians were responding to Christian uses of *ma‘nā* to describe the divine, but we are engaging in guesswork here at the remove of more than a millennium. Many scholars have been down this path and suggested a range of origins that includes, inter alia, Classical Indian philosophy. (The scholarship has been reviewed by Daiber.)<sup>96</sup>

I think, however, that Mu‘ammar’s *ma‘ānī* theory, a staple of ninth-century theology/philosophy/physics, makes sense within the bounds set by the literary critic and lexicographer Abū Hilāl. In the seventh through tenth centuries, the conceptual vocabulary of *ma‘nā*, *lafz*, and *ḥaqīqah* was everywhere. It was not omnipresent: the confluence of language, mind, and reality was sometimes confronted with other words, as we will see below with the discussion of name, naming, and named, and as Fritz Zimmermann has documented in the work of Abū Naṣr al-Fārābī (d. 950).<sup>97</sup> But this chapter has demonstrated that *ma‘nā*, *lafz*, and *ḥaqīqah* were stable and available words from the eighth century onward. When scholars thought about the principles, natures, and qualities of things around them they did so, inescapably, with the stuff of cognition: mental content that they could later put into words. They usually called this mental content *ma‘nā*. This is why I juxtapose theology, logic, and poetics in this book: because I am convinced that the language game being played by scholars in each of these disciplines, on each of these fields, was the same. It is as if, on one of those vast expanses of adjacent sports pitches that one finds in parts of the United Kingdom, multiple games were being played next to one another, each with different players and their own ball but all returning to the same changing rooms and all identifying themselves as doing the same thing: playing amateur football.

93. مَنْ قَالَ بالطبيعة المجيبة والطبع المولّد مُخطئٌ . . لا يصحّ أن يكون من جنس الجواهر [أو] الأعراض . . . Ibn Fūrak (1987, 131.16–132.1).

94. Aristotle (1964, 1:78f), van Ess (1991–95, 2:40). Cf. Ibn Fūrak’s dismissal of the idea that “nature” could explain generation: Ibn Fūrak (1987, 131.16).

95. Wolfson (1956, 3f), (1976, 112f, 115, 147–67).

96. Daiber (1975, 82).

97. Zimmermann (1981, cxxix–cxxxvii).